

TRANSITIONAL FUNDING MECHANISM (TFM)

SINGLE COUNTRY APPLICANT: SECTIONS 1-2

Deadline for submission: 31 March 2012, 12 Noon CET

Resources and funding under the Transitional Funding Mechanism

Funding under the Transitional Funding Mechanism (TFM) will be dependent on the resources available at the time the Board will approve TRP recommended TFM applications.

Available resources depend on several factors over which the Global Fund has no direct control, in particular the receipt of funding anticipated from donors. The timing of receipt of donor funding will also influence the ability of the Global Fund to commit resources in a timely manner to minimize the disruption of essential services. At this time, the Global Fund cannot guarantee the amount of resources or the timing commitments.

Given this, in accordance with its recent decision, the Board will approve applications on a rolling basis and stagger the timing of commitments (and if necessary vary the duration of commitments) to apply available resources to minimize the disruption of services.

SECTION 1: APPLICANT INFORMATION AND FUNDING SUMMARY

Complete this section only once per applicant

1.1 Applicant Name and Country Information	
Applicant Name	TANZANIA NATIONAL COORDINATING MECHANISM (CCM)
Country	UNITED REPUBLIC OF TANZANIA
Do you have essential prevention, treatment and/or care programs currently financed by the Global Fund that will face disruption between 1 January 2012 and 31 March 2014?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (if No do not submit a TFM request)
Please indicate from which date the disruption occurs (day, month, year) and the grant number(s) of affected grant(s)	June 1 st 2012-HIV January 1 st 2013-Tuberculosis
Please indicate the start date of the TFM request	June 1 st 2012-HIV January 1 st 2013-Tuberculosis

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1.2 Component/s and Choice of Funding Pool		
Component	Funding Pool	
HIV	<input checked="" type="checkbox"/> General	<input type="checkbox"/> Targeted
Tuberculosis	<input checked="" type="checkbox"/> General	<input type="checkbox"/> Targeted
Malaria	<input type="checkbox"/> General	<input type="checkbox"/> Targeted

1.3 Information on Continuity of Services (CoS) ¹ Request		
In this TFM request, are there interventions that will fall within the Continuity of Services policy?	X Yes	No
If yes, indicate the grant number(s) of the grants requiring CoS.	TNZ-607-G09-T	
If yes, provide a narrative summary of the elements of this request that relate to CoS and include the date used to determine the number of patients on treatment.		
<p>The CoS has not been included in the HIV proposal as Tanzania has a grant that will be in operation during the life of the TFM proposal.</p> <p>However, the TB proposal included CoS because GFR6 will be coming to an end in October 2012 and there is no any other sources of funds to support 33 districts that are under GFR6 support. The following interventions are part of continuity of services:</p> <p>Provide Isoniazid Preventive Therapy (IPT) for 7,000 PLHIV in 33 districts</p> <p>Procure 1st line anti TB drugs for 116,000 adult TB patients for the period 2013-2014</p> <p>Provide salaries to 31 TB/HIV coordinators in targeted districts</p> <p>Procure 2nd line anti-TB drugs for 100 MDR-TB patients including drugs for managing adverse effects for 20% of MDR TB patients in 2013-2014</p> <p>Pay US \$50,000 GLC annual service charge for MDR-TB consultative services</p> <p>Procure respirators, fitting kit and surgical masks for staff and patients at Kibong'oto TB Hospital</p> <p>Maintain existing TB laboratory equipment for microscopy and culture for three referral laboratories and 33 districts</p> <p>Maintain 6 program personnel at Kibong'oto TB Hospital</p>		

¹ The Global Fund's Continuity of Services policy provides up to two years of funding to continue courses of treatment (whether the treatment is for a limited duration or is lifelong) when a grant comes to an end. Additional guidance is provided in the TFM Guidelines.

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Provide funds for referral of MDR-TB patients to and from Kibong'oto Hospital

Procure and distribute TB laboratory consumables for microscopy and culture

Support existing community based former TB patients groups to maintain management of TB and TB/HIV services in 33 districts

Provide in the space below information on the number of people on treatment at the time of this application or expect to be on treatment at time of disruption. → *Complete a separate row for each SDA and type of treatment (e.g. ART, MDR-TB...).*

		Number of people on treatment			
SDA	Type of treatment	Male	Female	Male Child	Female Child
ART	1 st Line	337,985	31,680		→ use "Tab" key to add extra rows if needed
	2 nd line	6,898	320		
TB/HIV	IPT	2,240	4,760		
TB	1 st line anti TB	36,540	21,460		
MDR/TB	2 nd line anti TB	20	12	3	1
Cost per patient on treatment if available (should be in same currency as 1.4) 156-158 USD/patient/years					
eMTCT		138,990			
PITC		3,891,759			
VCT		1,200,000			
Monitoring of quality of data					

1.4 TFM Funding Summary

Currency	<input checked="" type="checkbox"/> USD	<input type="checkbox"/> EURO
Component	<p align="center">Transitional Funding Mechanism Funding Request</p> <p><i>* Enter the yearly amounts of the incremental (additional) funding requested. It should be identical to the yearly totals in the incremental request table (Section 7.6) for each component for which funding is requested.</i></p> <p><i>** Applications to the Targeted Funding Pool are subject to the maximum upper ceiling incremental funding request of US\$ 5 million for up to two years of proposal term.</i></p>	

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		Year 1	Year 2	Total
HIV	CoS¹			
	TFM other	25,357,463	41,112,280	66,469,743
	Total Incremental Funding Request	25,357,463	41,112,280	66,469,743

		Year 1	Year 2	Total
Tuberculosis	CoS¹	3,756,435	2,851,267	6,607,702
	TFM other	883,787	850,463	1,734,250
	Total Incremental Funding Request	4,640,222	3,701,730	8,341,952

		Year 1	Year 2	Total
Malaria	Total Incremental Funding Request			

1.5 Contact Details

	Primary contact	Secondary contact
Name	PENIEL LYIMO	Dr Fatma Mrisho
Title	PERMANENT SECRETARY	Executive Chairman
Organization	PRIME MINISTER'S OFFICE	Tanzania Commission for AIDS (TACAIDS)
Mailing address	P.O. BOX 3021 DAR ES SALAAM	P. O. Box 76987
Telephone	+255 22 2111249	+255 22 2122651
Fax	+255 22 2117266	+255 22 2122427
E-mail addresses	ps@pmo.go.tz	fmrisho@tacaid.go.tz

1.6 List of Abbreviations and Acronyms used by the Applicant

Acronym/Abbreviation	Definition
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MDR	Multi drug Resistance
PLHIV	People Living with HIV and AIDS
PITC	Provider Initiated Testing and Counselling
TNCM	Tanzania National Coordinating Mechanism
VCT	Voluntary Counselling and Testng
	<i>→ use "Tab" key to add extra rows if needed</i>

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CCM applicants

- Complete sections 2.1 to 2.7
- Delete sections 2.8 to 2.15

Sub-CCM applicants

- Complete sections 2.1 to 2.10
- Delete sections 2.11 to 2.15

Non-CCM applicants

- Complete sections 2.11 to 2.15
- Delete sections 2.1 to 2.10

SECTION 2: REQUIREMENTS FOR ELIGIBILITY

2.1 Proposal development process

Requirement 1: The Global Fund requires all CCMs² to:

- i. **Coordinate the development of all funding applications through transparent and documented processes that engage a broad range of stakeholders - including CCM members and non-members – in the solicitation and the review of activities to be included in the application.**
- ii. **Clearly document efforts to engage key population groups³ in the development of funding applications, including most-at-risk populations.**

Describe the transparent and documented process used to engage stakeholders, including CCM and non-CCM (or Sub-CCM) members and most-at-risk populations in the development of the TFM application.

In particular, describe how the CCM (or Sub-CCM) determined what are the essential services facing disruption and what other resources, including other ongoing Global Fund grants, could be reprogrammed to potentially meet their need.

Please attach meeting minutes which record the CCM (or Sub-CCM) decisions taken on what to include in the application as well as stakeholder input and participation.

→ Explain the process for each component in the application

The TNCM ensured that at every stage of proposal development, the proposal application documents were shared with all the members of the TNCM who in turn shared with their constituencies, and that key stakeholders and partners were also involved as members of the proposal writing team. The PLHIV are members of the TNCM and they also represent various constituents of people living with HIV and of advocacy civil society organisations. The representatives from the PLHIV and civil society if general participated effectively in the TNCM meetings and contributed to the shaping of the proposal.

The proposal development process also gained from valuable comments from the mock TRP in Geneva and from UN agencies and bilateral partners in Tanzania. In addition, various technical working groups were consulted especially those involved with treatment, care and support as well as HIV testing and counselling.

The CCM started discussion on the 23rd CCM meeting held on 26 November, 2011 after being informed that GFR11 was cancelled. The TNCM directed the secretariat to prepare the implication of cancellation of GFR11 to the National Response of the three deceases.

The secretariat submitted and presented to the 24th TNCM meeting held on 2nd February, 2012 the implication of cancellation of GFR11. After a thorough discussion and contribution of ideas from the members, the TNCM meeting made a resolution to make a country application for Transition Funding Mechanism (TFM) (The TNCM meetings signed minutes are attached as Annex...).

During the development of TFM proposal among other issues the involvement of various stakeholders was taken on board. Apart from the technical people from the respective Ministries and Agencies, other stakeholder from all TNCM constituents had a chance to input in the draft proposal independently and in the TNCM meeting held on March 1st, 2012 and also approved the final application draft during the meeting held on 26 November, 2012. Other stakeholders who were involved in the proposal development process were the representatives from Civil Society Organizations (CSOs), representatives from Regional Administration and Local Government Authorities (LGAs) and the private sector.

² The requirements outlined here apply to CCMs and sub-national CCMs (Sub-CCMs).

³ Key population groups include: women and girls, men who have sex with men, transgender persons, people who inject drugs, male and female and transgender sex workers and their clients, prisoners, refugees and migrants, people living with HIV, adolescents and young people, vulnerable children and orphans, and populations of humanitarian concern.

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2.2 Processes to select Principal Recipient(s)

Requirement 2: The Global Fund requires all CCMs to:

- i. Nominate one or more PR(s) at the time of submission of their application for funding⁴.**
- ii. Document a transparent process for the nomination of all new and continuing PRs based on clearly defined and objective criteria.**
- iii. Document the management of any potential conflicts of interest that may affect the PR nomination process.**

Describe the transparent process used to nominate new or continuing Principal Recipient(s) and the criteria used for the nomination. Describe how any potential conflict of interest was managed that may have affected the PR nomination process.

Please attach the signed and dated minutes of the meeting(s) at which the CCM (or Sub-CCM) members nominated the Principal Recipient(s) for each TFM application. Minutes should include a summary of discussions, a list of participants, decision points and a record of who and which constituency took part in the decision making process. CCM (or Sub-CCM) meeting minutes must demonstrate how conflict of interest was managed.

→ Explain the process for each Principal Recipient for each component

HALF PAGE MAXIMUM

The nomination of Principal Recipient (PR) was conducted during the time of submission of the application in a very transparent manner. During the TNCM meeting the Chairman asked different members to propose the method to be employed in the selection of the Principal Recipient.

However, all the Members agreed by voting that due to the fact that the TFM is more focused on continuation of the existing interventions, and the fact that the existing Principal Recipient Performed well, it was wise to use the same existing Principal Recipient that is Ministry of Finance. The TNCM meeting at which the decision was made is attached as Annex DD

Name the Principal Recipient(s) nominated for your application(s)

Name	Component	Sector
MINISTRY OF FINANCE	PUBLIC PRINCIPAL RECIPIENT	Government
<i>[use "Tab" key to add extra rows if needed]</i>		

2.3 Non-implementation of dual track financing

Dual track financing means that at least one government sector and one non-government sector Principal Recipient have been nominated for each component. If relevant, provide an explanation below as to why dual track financing has not been applied for any of the components in this application.

HALF PAGE MAXIMUM

The Global Fund Round 8 for which the TFM is a continuation as a government and non-government PR. Therefore dual track financing has been applied. However, for the specific essential services outlined in the TFM, the non-governmental PR has not been included as the proposal deals mainly with the procurement of pharmaceutical and health products and essential medicines. But it should be stated that for the Global Fund Round 8 Phase II, both government and non-government PRs will continue to operate.

2.4 Process to oversee program implementation

⁴ In exceptional cases, the Global Fund will directly select PRs for the CCM under the Additional Safeguard Policy.

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Requirement 3: Recognizing the importance of oversight, the Global Fund requires all CCMs to submit and follow an oversight plan for all financing approved by the Global Fund. The plan must detail oversight activities, and must describe how the CCM will engage program stakeholders in oversight, including CCM members and non-members, and in particular non-government constituencies and people living with and/or affected by the diseases.

Attach an oversight plan for all financing requested from the Global Fund. The oversight plan must describe the activities the CCM (or Sub-CCM) plans to conduct to oversee program implementation. Moreover, it must explain how the CCM (or Sub-CCM) will engage stakeholders, including CCM and non-CCM members (or Sub-CCM) and in particular non-government constituencies and people living with and/or affected by the disease.

- **Activities planned by CCM to conduct in the oversight plan**
 - **Auditing**
 - **Conduct implementers meeting to present the implementation report**
 - **Conduct supportive Supervision for the GF projects**
 - **Conduct monitoring and evaluation field visits**
- **Wider involvement of stakeholders in the implementation of oversight plan**
 - **CCM (TNCM) constituents**
 - **PLHIV constituent**
 - **Non CCM stakeholders**
 - **FBOs**

→ Annex (i)

2.5 Broad and inclusive membership

Requirement 4: The Global Fund requires all CCMs to show evidence of membership of people living with HIV and of people affected by TB or malaria (where funding is requested or has previously been approved for the respective disease). People affected by TB or malaria include people who have lived with these diseases in the past or who come from communities where the diseases are endemic.

Requirement 5: The Global Fund requires all CCM members representing non-government constituencies to be selected by their own constituencies based on a documented, transparent process, developed within each constituency. This requirement applies to all non-government members including those members representing people living with or affected by the three diseases, but not to multilateral and bilateral partners.

Since your last eligible application to the Global Fund:

(a) Is there continuing active membership of people living with and/or affected by HIV and of people affected by TB or malaria (where funding is requested or has previously been approved for the respective components)?

No

xYes

(a) When was the last time any changes were made in CCM (or Sub-CCM) members representing non-government constituencies?

ONE PAGE MAXIMUM

The last time any changes was made in TNCM membership were as follows:

- The representatives of two new constituencies namely the National Assembly an the Judiciary (TNCM minutes of 28 November, 2012)
- The new country director for Path Tanzania Mr. Todd Malone was also introduced (TNCM minutes of 26 November, 2011)

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<ul style="list-style-type: none"> The new TNCM representative of Development Partners Group (DPG) Health, Mr. Rik Peeperkorn from the Netherlands Embassy (TNCM Minutes of 15th February, 2012)
<p>(c) Describe in the space below how new members representing non-government constituencies were selected by their own constituency, based on a documented, transparent process, developed within each constituency. Please attach copies of advertisements or correspondence inviting representatives from the non-government constituency to take part in a member selection process or to nominate or select an organization. In addition, please attach minutes from the meeting(s) where member selection took place. Minutes should document the candidates considered, the criteria used for selection (if any), the individuals who took part in the selection process and the organizations they represent.</p>
<p>ONE PAGE MAXIMUM</p> <p>The non-governmental organizations meet as need arises and select their representative among themselves. The current members of the TNCM from the civil society were selected a number of years ago through a self administered process by the civil society organizations.</p>

<p>2.6 Managing conflicts of interest</p> <p>Requirement 6: To ensure adequate management of conflict of interest, the Global Fund requires all CCMs to:</p> <ul style="list-style-type: none"> i. Develop and publish a policy to manage conflict of interest that applies to all CCM members, across all CCM functions. The policy must state that CCM members will periodically declare conflicts of interest affecting themselves or other CCM members. The policy must state, and CCMs must document, that members will not take part in decisions where there is an obvious conflict of interest, including decisions related to oversight and selection or financing PRs or SRs. ii. Apply their conflict of interest policy throughout the life of Global Fund grants, and present documented evidence of its application to the Global Fund on request. 	
<p>Attach the policy which outlines how the CCM (or Sub-CCM) manages potential and actual conflict of interest, as per the requirement above.</p>	<p>→ <i>annex (ii)</i></p>

<p>2.7 Proposal endorsement by members</p> <p>The Membership Details Form (Attachment C) has been completed with the signatures of all members of the CCM (or Sub-CCM).</p>	<p>x → <i>Tick this box to confirm that the Membership Details Form, with signatures, is attached to the application</i></p>
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ELIGIBILITY CHECKLIST

Section	Description	List annex name <u>and</u> number
CCM and Sub-CCM applicants		
2.1	Process used to engage a broad range of stakeholders, including key population groups, in the solicitation and review of activities for integration into the TFM request	Annexes (iii), (iv) and (v) TNCM Minutes Dated 9 th March, 2012; 2 nd February, 2012 and 27 March, 2012.
2.1	Attach a signed and dated version of the minutes of the meeting(s) at which the CCM (or Sub-CCM) members decided what to include in each component and finalized and endorsed the application	Annex (v) :TNCM Minutes Dated 2 nd February, 2012
2.2	Process and criteria used to nominate new or continuing Principal Recipient(s) Attach signed and dated minutes of the meeting(s) at which the CCM (or Sub-CCM) members nominated the PR(s). CCM (or Sub-CCM) meeting minutes must demonstrate how potential conflict of interest was managed	No new PR was nominated as the TFM is a continuation of GF Round 8. Therefore the current PR continues.
2.4	Attach an oversight plan for all financing approved by the Global Fund	Annex (i): Tanzania National Coordinating Mechanism Oversight Governance Manual
2.5	Process used to select CCM (or Sub-CCM) members representing non-government constituencies by their own constituencies Attach copies of advertisements or correspondence inviting representatives from the non-government constituency to take part in a member selection process. Attach the minutes of the meeting(s) where member selection took place	There was no formal meeting for any members as the TFM is a continuation of GF Round 8.
2.6	Attach the Conflict of Interest policy and highlight the specific sections that respond to the stipulations set out in Requirement 6	Annex (ii) : Tanzania National Coordinating Mechanism Conflict of Interest Policy Concept. Section 5
2.7	Endorsement of the TFM request by all CCM (or Sub-CCM) members	Membership Details Form
Sub-CCM applicants		
2.9	Process used to show that the CCM reviewed and endorsed the TFM request	

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2.10	Documented evidence justifying the Sub-CCM's right to operate without guidance from the CCM	
Non-CCM applicants		
2.11	Documentation describing the organization, and the key governance arrangements, and a summary of the main sources and amounts of funding	
2.12(a)	Documentation justifying the exceptional circumstance for submitting a Non-CCM TFM request	
2.12(b)	Documentation of communication to the CCM for consideration of the TFM request	
Other documents relevant to sections 1 and 2 attached by applicant:		
<i>→ Add extra rows to this section of the table as required to ensure that documents directly relevant are attached</i>		